



J V EQUITIES PRIVATE LIMITED

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 CIN: U67120GJ2001PTC039538

FATCA-CRS Declaration & Supplementary KYC Information

Declaration Form for Individuals

Please seek appropriate advice from your tax professional on your tax residency and related FATCA & CRS guidance

Demat Client ID:

Trading Code:

Date:

Relationship with Karta	First/Sole Holder	Second Holder	Third Holder
PAN*			
Name			
Place of Birth			
Country of Birth			
Nationality			
Do you satisfy any of the criteria mentioned below:			
a. Citizen of any country other than India (including Dual/Multiple/holding Green Card)?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Tax Resident of any countries other than India?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
c. POA or mandate holder who has an address outside India	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
If answer to the question "b" above is "Yes", please provide the following information (mandatory); else directly go to declaration & acknowledgment.			
Please indicate all countries in which you are resident for tax purposes and the associated Tax Reference Numbers below:			
Country of Tax Residency 1#			
Tax Payer Ref. ID No.			
Identification Type (TIN or other, please specify)			
Country of Tax Residency 2#			
Tax Payer Ref. ID No.			
Identification Type (TIN or other, please specify)			

*If PAN is not available please specify Folio No(s)

#to include all countries other than India where investor is Citizen/Resident/Green Card Holder/Tax Resident in those respective countries especially of USA

Declaration & Acknowledgment

- I/We hereby certify that I/we have declared my/our status as per the applicable FATCA/CRS rules in India as notified by Government of India (GOI)/Central Board of Direct Taxes (CBDT) / Securities and Exchange Board of India (SEBI) / Reserve Bank of India (RBI).
- I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief and that I/we have not withheld any material information/document, that may affect the assessment/categorization of the account as a US Reportable account/Other Reportable account or otherwise.
- In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/we may liable for it.
- I/We undertake the responsibility to declare, disclose and recertify within 30 days any changes that may take place in the information provided in the account opening form, and signed by me/us as well as in the documentary evidence provided by me/us or in any certification becomes incorrect.
- I/We hereby authorize you to disclose, share, remit in any form, mode or manner, all/any of the information provided by me/us, including all changes, updates to such information as and when provided by me/us to any Indian or Foreign government or statutory or judicial authorities/agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax/ revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same.
- I/We also agree that my/our failure to disclose any material fact known to me/us now or in future, may invalidate my/our application and SVS Commodity Brokers Pvt. Ltd. (SVSCBPL) would be within its right to put restrictions in the operations of my/our account or take appropriate action permissible under the Indian regulations for the purpose or take any other action as may deemed appropriate if the deficiency is not updated/rectified by me/us within the stipulated period.

7. I/We agree to furnish any particular/information that is called upon me/us by SVSSPL on account of any change to law either in INDIA or abroad in the subject matter herein.
8. In the event there is any tax demand (including interest (if any) raised due to non-disclosure/inaccurate disclosure of information/document on my/our part, I/we undertake to pay the demanded forthwith and provide SVSSPL with all information/documents that may be necessary for any proceedings before GOI/RBI/SEBI/Income Tax Authorities.
9. I/We permit/authorize SVSSPL to collect, store, communicate and process information relating to my/our account with them and all transaction therein, by SVSSPL and any of its affiliates wherever situated including sharing, transfer and disclosure between them and to the authorities in and/or outside India of any confidential information for compliances with any law or regulation whether domestic or foreign.
10. I/We also understand that the account will be reported if any one of the aforesaid FATCA/CRS criteria for any of the account holders i.e. primary or joint (in case of demat account) are met.

Sign	Sign	Sign
First Holder/Guardian	Second Holder	Third Holder

FATCA & CRS Terms & Conditions

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income-tax Rules, 1962, which Rules require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Towards compliance with tax information sharing laws, such as FATCA and CRS, we would be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from our account holders. Such information may be sought either at the time of account opening or any time subsequently. As may be required by domestic or overseas regulators/tax authorities, we may also be constrained to withhold and pay out any sums from your account or close or suspend your account(s).

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days from the occurrence of the change in information.

If you have any question about your tax residency, please contact your tax advisor. If you are a US citizen or resident or green card holder, please include United States in the foreign country information field along with the US Tax Identification Number.

It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation for the same.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	
U.S. Place of Birth	<ol style="list-style-type: none"> 1. Self-Certification that the account holder is neither a citizen of United States of America nor a resident for Tax purposes; 2. Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below) AND 3. Any one of the following documents: <ul style="list-style-type: none"> • Certified Copy of "Certificate of Loss of Nationality", or • Reasonable explanation of why the customer does not have such a certificate despite renouncing citizenship; or • Reason the customer did not obtain U.S. citizenship at birth
Residence/mailling address in a country other than India	<ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a resident of any other country other than India; and 2. Documentary evidence (refer list below)
	<p>Whether no Indian telephone number is provided or provided along with a foreign country telephone number</p> <ol style="list-style-type: none"> 1. Self-certification that the account holder is neither a citizen of United States of America nor a resident of any other country other than India; and 2. Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

1. Certificate of residence issued by an authorized government body*
2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

***Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.**