



J V GROUP

Member of NSE - BSE - CDSL - MCX - NCDEX

J V EQUITIES PVT LTD

ACCOUNT OPENING FORM

Client Code : _____

Client Name : _____

Demat Account No. :

1	2	0	8	7	6	0	0								
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--

Scheme : _____

DP Internal Reference No. : _____

Information Regarding J V Equities Pvt. Ltd. / Authorized Person

Authorised Person Code : _____

Authorised Person Name : _____

Contact Detail : _____

UCC DATE

DEMAT DATE

NSE CASH

BSE CASH

NSE F & O

BSE F & O

NSE CDS

MCX

NSE CO

NCDEX

ACKNOWLEDGEMENT / INDEX

To,
J V Equities Pvt. Ltd.
 201, Solitaire, Nr. Rajkot Municipal Commissioner Bungalow,
 Ramkrishnanagar Main Road, Rajkot - 360002

Dear Sir,

This has reference to necessary Know Your Client Form containing basic information, additional information and other documents executed by me. I hereby acknowledge receipt of following documents from you:-

KNOW YOUR CLIENT (KYC) APPLICATION FORM INDEX - PART-A

Sr.No.	Name of the Document	Brief Significance of the Documents	Pg. No.
1.	KYC (Account Opening Application Form)	A. KYC Form - Document captures basic information about the constituent (for Individuals) B. Document captures the additional information of the constituent relevant to trading account (for non -Individuals)	8-11
2.	Trading / Demat Account Related Details	Details of Constituent pertaining to bank account / Depository / Past actions. Document detailing the rate/amount of brokerage and other charges levied on the constituent for trading on the Stock Exchange(S)	12-18
3.	Schedule of Charges (BO Account)	Tariff details pertaining to BO Account	19
4.	BO Nomination Form	Details pertaining to Beneficiary Owner	20-21
5.	DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)	DDPI to nominate, constitute and appoint Member for DP operations, pledging with clearing corporation etc.	22-23
6.	FATCA Individual/Non-Individual	Account opening form supplement	24-26
7.	Declaration By HUF	Declaration for operating Beneficiary Account (by Karta/HUF)	27
8.	Voluntary Authorization Letter	Authorization letter to maintain constituents account on Running Account basis.	28-29
9.	Client Declaration for open interest position & Consent for Electronic Contract Note (ECN)	Client Declaration for open interest position & Consent for Electronic Contract Note (ECN)	30

MANDATORY & NON-MANDATORY DOCUMENTS THAT FORM A PART OF THE FORM CONTAIN IN A SEPARATE BOOKLET INDEX - PART-B

FOR EQUIT/DERIVATIVES & COMMODITY DERIVATIVES

S.No.	Particulars (Mandatory as prescribed by Exchange)	Page Nos./Part
1.	Documents containing mandatory Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	B
2.	Documents containing voluntary Rights & Obligations of stock broker, sub-broker and client forming part of documents as mentioned in clause 1 above.	B
3.	Internet & wireless technology based trading facility provided by stock brokers to client	B
4.	Uniform Risk Disclosure Documents.	B
5.	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	B
6.	Policy and Procedures document. (as prescribed by Member Broker)	B

I/We state that I/We have read and understood all above documents and these documents are binding upon me.

Thanking you,

Signature **1**



Sole/First Holder

Second Holder

Third Holder

Most Important Terms and Conditions (MITC)

(For non-custodial settled trading accounts)


1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

I/We acknowledge and state that I/We have read and understood all above mention points and these points is/are binding upon me/us.

Thanking you,

 
Sole/First Holder


Second Holder


Third Holder

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

Instructions/Guidelines for filling Individual KYC Application Form

A. General Instructions:

1. Self-attestation of documents is mandatory.
2. Copies of all documents that are submitted need to be compulsorily self-attested by the applicant and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per below list mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent addresses are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/ OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/ Passport of Minor/Birth Certificate must be provided.
11. Politically exposed persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country e.g., Head of State or of Government, senior politician, senior government/judiciary/military officer, senior executive of state owned corporation, important political party official, etc

B. Proof of Identity (POI):

1. PAN card with photograph is mandatory for all applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Original Verified Documents (OVD) are acceptable: Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving License / Letter issued by NPR / NREGA job card
3. If driving license number or passport is provided as proof of identity then expiry date is to be mandatorily furnished.
4. Mention identification / reference number if 'Z – Others (any document notified by the central government)' is ticked.
5. Others – Identity card with applicant's photograph issued by any of the following: Central/ State Government Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA):

1. PoA to be submitted only if the submitted Pol does not have an address or address as per Pol is invalid or not in force.
2. Others includes – Utility bill which is not more than 3 months old of any service provider (electricity, landline telephone, piped gas, water bill); Bank account or Post Office savings bank account statement; Documents issued by Government departments of foreign jurisdictions and letter issued by Foreign Embassy or Mission in India
3. Identity card/document with address issued by any of the following: Central/ State Government Departments, Statutory/ Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council, etc., to their Members.
4. Self declaration of High courts/Supreme court judges, giving the new address in respect of their own accounts.
5. For FI/ Sub account, Power of attorney given by FI/ Sub account to the custodians (which are duly notarized and/or apostilled or consularized) that gives registered address should be taken.
6. Proof of address in name of spouse may be accepted.
7. Registered lease or Sale agreement/ Flat maintenance bill / Insurance copy / Ration card / Latest Property tax
8. Original Verified Documents (OVD) are acceptable: Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving License / Letter issued by NPR / NREGA job card

D. Exemptions/Clarifications to PAN (*Sufficient documentary evidence in support of such claims to be collected)

1. Investments (including SIPs), in Mutual Fund schemes up to INR 50,000/- per investor per year per Mutual Fund.
2. Transactions undertaken on behalf of Central/State Government, by officials appointed by Courts, e.g., Official liquidator, Court receiver, etc.
3. Investors residing in the state of Sikkim.
4. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
5. In case of institutional clients, namely FIIs, MFs, VCFs, FVCIs, Scheduled commercial bank, Multilateral and Bilateral development financial institutions, State Industrial development corporations, insurance companies registered with IRDA and public financial institutions as defined under section 4A of the Company Act 1956, custodians shall verify the PAN card details with the original PANs and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Authorized officials of Asset Management Companies (AMCs).
2. Authorized officials of Registrar & Transfer Agent (RTA) acting on behalf of the AMC.
3. KYC compliant mutual fund distributors affiliated to Association of Mutual Funds (AMFI) and have undergone the process of 'Know Your Distributor (KYD)'.
Notary Public, Gazette Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
5. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/ Consulate General in the country where the client resides are permitted to attest the documents.

F. Online Mode Processing of KYC:

1. EKYC BIOMETRIC
 - Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Applicant details are verified using UIDAI Biometric details.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
 - Intermediary attestation on documents is exempted.
2. EKYC OTP
 - Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Applicant details are verified using UIDAI details using OTP.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
 - Intermediary attestation on documents is exempted.
3. ONLINE KYC
 - Applicant may directly upload their documents (OVD) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Virtual In Person Verification (VIPV) is mandatory as per SEBI guidelines.
 - Intermediary attestation on documents (OSV) is exempted.
4. OFFLINE EKYC
 - Applicant may directly upload their document (PAN copy) as scanned images on intermediary's portal.
 - The documents should be e-signed.
 - Digital KYC performed through Offline Aadhaar e-KYC. OVD sourced from Offline Aadhaar e-KYC.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
5. DIGILOCKER
 - Digital KYC performed through the documents (OVD) sourced from Digilocker.
 - Original Seen Verification (OSV) of documents as well as IPV / VIPV is exempted.
 - Intermediary attestation on documents is exempted.

G. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of entity	Additional Documents Required over and above PAN, POI and POA
Corporate	<ul style="list-style-type: none"> • Copy of Balance Sheet for the last to financial years (to be submitted every year). • Copy of latest share-holding pattern including the list of all those holding control, either directly or indirectly , in the company in terms of SEBI takeover regulations, duly certified by the company secretary/ whole time director/ MD (to be submitter every year). • Photograph, POI, POA, PAN and DIN number of the whole time Director/ 2 directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control—either directly or indirectly. • Copy of Memorandum and Articles of Association and Certificate of Incorporation. • Copy of Board Resolution for Investment in security markets. • Authorized signatories list with specimen signatures. • Shareholding pattern.
Partnership firm	<ul style="list-style-type: none"> • Copy of Balance Sheet for the last to financial years (to be submitted every year). • Certificate of Registration (for registered partnership firms only). • Copy of Partnership Deed. • Authorized signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners. • Shareholding pattern
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • List of trustees certified by managing trustees/CA. • Copy of Trust deed. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of Declaration of HUF or List of Co-Parceners. • Bank Passbook/ Bank statement in the name of HUF. • Photograph, POI, POA, PAN of KARTA.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years • Authorized signatories list with specimen signatures.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of existence or Constitution document. • Resolution of Managing Body and power of Attorney granted to transact business on its behalf.
Army/Government Bodies	<ul style="list-style-type: none"> • Copy of Constitution/Registration or Annual report/Balance Sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> • Self certification on letterhead. • Authorized signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Society Registration Act. • List of managing committee members. • Committee Resolution for persons authorized to act as authorised signatories with specimen signatures. • True copy of society rules and by-laws certified by Chairman/Secretary.
FPI Category I	<ul style="list-style-type: none"> • FPI Certificate • Constitution Documents • Copy of Board Resolution (optional) • Shareholding pattern and Ultimate Beneficiary Owners List (UBO) • Authorized signatories list with specimen signatures.
FPI Category II	<ul style="list-style-type: none"> • FPI Certificate • Constitution Documents • Copy of Board Resolution • Shareholding pattern and Ultimate Beneficiary Owners List (UBO) with UBO proof of identity • Authorized signatories list with specimen signatures.

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

H. ADDITIONAL DOCUMENTS IN CASE OF TRADING IN DERIVATIVES SEGMENTS - ILLUSTRATIVE LIST:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

I. *In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

J. Demat master or recent holding statement issued by DP bearing name of the client.

K. For Individuals:

- Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
- In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person' verification. Further,

considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

L. For Non-Individuals:

- Form need to be initialized by all the authorized signatories.
- Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

CENTRAL KYC REGISTRY | Instructions / Check list / Guidelines for filling Individual KYC Application Form

A. Clarification/Guidelines on filling 'Personal Details' section

- Name: The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected.
- One the following is mandatory: Mother's name, Spouse's name, Father's name.

B. Clarification / Guidelines on filling 'Current Address details' section

- In case of deemed PoA such as utility bill, etc. or self declaration, the document need not be uploaded on CKYCR
- POA to be submitted only if the submitted Pol does not have current address or address as per Pol is invalid or not in force.
- State/U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.
- In Section 2, one of I, II, and III is to be selected. In case of online E-KYC authentication, II is to be selected.
- In Section 3, one of I, II, III and IV is to be selected. In case of online E-KYC authentication, II is to be selected.
- List of documents for 'Deemed Proof of Address':

Document Code	Description
01	Utility bill which is not more than two months old of any service provider (electricity, telephone, post-paid mobile phone, piped gas, water bill).
02	Property or Municipal tax receipt.
03	Pension or family pension payment orders (PPOs) issued to retired employees by Government Departments or Public Sector Undertakings, if they contain the address.
04	Letter of allotment of accommodation from employer issued by State Government or Central Government Departments, statutory or regulatory bodies, public sector undertakings, scheduled commercial banks, financial institutions and listed companies and leave and licence agreements with such employers allotting official accommodation.

- Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.
- "Equivalent e-document" means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.
- Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.
- REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository

C. Clarification / Guidelines on filling 'Contact details' section

- Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).
- Do not add '0' in the beginning of Mobile number.

D. Clarification / Guidelines on filling 'Related Person details' section

- Provide KYC number of related person, if available.

E. Clarification on Minor

- Guardian details are optional for minors above 10 years of age for opening of bank account only
- However, in case guardian details are available for minor above 10 years of age, the same (or CKYCR number of guardian) is to be uploaded.

A. Clarification/Guidelines for filling Entity Details section**1. Entity Constitution Type**

A-Sole Proprietorship	H-Trust	O-Artificial Jurisdical Person
B-Partnership Firm	I-Liquidator	P- International Organisation or Agency /Foreign Embassy or Consular Office etc.
C-HUF	J-Limited Liability Partnership	Q-Not Categorized
D- Private Limited Company	K-Artificial Liability Partnership	R-Others
E-Public Limited Company	L-Public Sector Banks	S-Foreign Portfolio Investors
F-Society	M-Central/State Government Department or Agency	
G-Association of Persons (AOP)/ Body of Individuals (BOI)	N-Section 8 Companies (Companies Act, 2013)	

2. In case of companies and partnerships, PAN of the entity is mandatory. In case of other entities, FORM 60 may be obtained if PAN is not available.

B. Clarification / Guidelines for filling 'Proof of Identity[Pol]' section

1. Activity Proof-1 and Activity Proof - 2 are applicable for accounts in case of proprietorship firms. Please refer to relevant instructions issued by the Reserve Bank of India in this regard.

2. Please refer to the relevant instructions issued by the regulator regarding applicable documents for the legal entity.

3. Certified copy of document or equivalent e-document or OVD obtained through Digital KYC process to be submitted.

4. Equivalent e-document' means an electronic equivalent of a document, issued by the issuing authority of such document with its valid digital signature including documents issued to the digital locker account of the client as per rule 9 of the Information Technology (Preservation and Retention of Information by Intermediaries Providing Digital Locker Facilities) Rules, 2016.

5. 'Digital KYC process' has to be carried out as stipulated in the PML Rules, 2005.

6. KYC requirements for Foreign Portfolio Investors (FPIs) will be as specified by the concerned regulator from time to time.

C. Clarification/Guidelines for filling 'Proof of Address [POA]' section

1. State/U.T Code and Pin / Post Code will not be mandatory for Overseas addresses.

2. Certified copy of document or equivalent e-document to be submitted.

D. Clarification / Guidelines for filling 'Contact Details' section

1. Please mention two-digit country code and 10 digit mobile number (e.g. for Indian mobile number mention 91-9999999999).

2. Do not add '0' in the beginning of Mobile number.

E. Clarification/Guidelines for filling 'Related Person Details' section**1. Personal Details**

- The name should match the name as mentioned in the Proof of Identity submitted failing which the application is liable to be rejected. Proof of Address [POA]

2. POA to be submitted only if the submitted

- Pol does not have an address or address as per Pol is invalid or not in force.

- State / U.T Code and Pin/Post Code will not be mandatory for Overseas addresses.

- In case of deemed POA such as utility bill, the document need not be uploaded on CKYCR

- REs may use the Self Declaration check box where Aadhaar authentication has been carried out successfully for a client and client wants to provide a current address, different from the address as per the identity information available in the Central Identities Data Repository.

3. If KYC number of Related Person is available, no other details except 'Person Type' and 'Name of the Related Person' are required.

4. Regulated Entity (RE) shall redact (first 8 digits) of the Aadhaar number from Aadhaar related data and documents such as proof of possession of Aadhaar, while uploading on CKYCR.

F. Provision for capturing signature of multiple authorised persons is to be made by the RE.



J V EQUITIES PVT. LTD.

201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow, Ramkrishnanagar Main Road, Rajkot - 360002

CENTRAL KYC REGISTRY | Know Your Customer (KYC) Application Form | Individual | Related Person

Important Instructions :

- A) Fields marked with '*' are mandatory fields.
- B) Tick '✓' wherever applicable.
- C) Please fill the form in English and Block letters.
- D) Please fill the date in DD-MM-YYYY format.
- E) For particular section update, please tick (✓) in the box section number and strike off the section not required to be updated.
- F) Please read section wise detailed guidelines / instructions at the end
- G) List of State / UT code as per Indian Motor Vehicle Act, 1988 is available at the end
- H) List of two character ISO 3166 country codes is available at the end.
- I) KYC number of applicant is mandatory for update application.
- J) The 'OTP based E-KYC' check box is to be checked for accounts opened using OTP based E-KYC in non-face to face mode



For office use only

(To be filled by financial institution)
Please fill the form in ENGLISH and in BLOCK letters
Fields marked * are mandatory
Fields marked * are pertaining to CKYC and mandatory only if processing CKYC also

Application Type* New Update Own Related Person

KYC Number : (Mandatory for KYC update request)

Account Type* Normal Minor EKYC OTP EKYC Biometric

Online KYC Offline EKYC Digilocker

1. PERSONAL DETAILS (Please refer instruction)

Name	Prefix	First Name	Middle Name	Last Name
(Same as ID Proof)*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Maiden Name (If any)*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Father/Spouse Name*	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Please affix the recent passport size photograph

PAN

Date of Birth* / /

Gender* Male Female Transgender

Marital Status* Married Unmarried Others

Nationality* Indian Other (ISO 3166 Country Code)

Residential Status* Resident Individual Non Resident Indian Foreign National Person of Indian Origin

Related Person Type BO Karta Director Partner Trustee Promoter

Others (specify) _____ DIN: _____ (mandatory if the related person is director)

Occupation* S-Service (Private Sector Service Public Sector Service Government Sector Service)

O-Others (Professional Self Employed Retired Housewife Student)

B-Business

3
Signature / Thumb Impression

2. PROOF OF IDENTITY & ADDRESS * (Please refer instruction)

I. Certified copy of OVD or equipment e-document of OVD of OVD obtained through digital KYC process needs to submitted (anyone of the following OVDs)

<input type="checkbox"/> A - Passport Number	<input type="text"/>	Expiry Date	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="checkbox"/> B - Voter ID Card	<input type="text"/>	Driving Licence Expiry Date	<input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> / <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
<input type="checkbox"/> C - Driving Licence	<input type="text"/>		
<input type="checkbox"/> D - NREGA Job Card	<input type="text"/>		
<input type="checkbox"/> E - National Population Register Letter	<input type="text"/>		
<input type="checkbox"/> F - Proof of Possession of Aadhaar	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>		
II. <input type="checkbox"/> E-KYC Authentication	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>		
III. <input type="checkbox"/> Offline verification of Aadhaar	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>		

2.1. Correspondence/ Local Address*

Address Type* Residential Residential/Business Business Registered Office Unspecified

Line 1	<input type="text"/>				
Line 2	<input type="text"/>				
Line 3	<input type="text"/>				
City/Town/Village*	<input type="text"/>	District	<input type="text"/>	PIN	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
State	<input type="text"/>	Country*	<input type="text"/>		

2.2. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)										
Address Type* <input type="checkbox"/> Residential <input type="checkbox"/> Residential/Business <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified										
Line 1										
Line 2										
Line 3										
City/Town/Village*				District				PIN		
State				Country*						

3. CONTACT DETAILS (All communications will be sent on provided Mobile No/Email-ID (Please refer instruction C at the end)									
Tel. (Office)					Tel. (Office)				
Fax					Mobile				
E-mail ID									
Wish to receive electronic Contact Note, Statement, Ledger, Communication and other information through SMS/Email on the above contact details									<input type="checkbox"/> Yes <input type="checkbox"/> No
Contact Details Belongs to <input type="checkbox"/> Self <input type="checkbox"/> Spouse <input type="checkbox"/> Dependent Children <input type="checkbox"/> Dependent parents <input type="checkbox"/> Authorised Person									

4. REMARKS (If any)									

5. APPLICANT DECLARATION

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA and Central KYC Registry through SMS/Email on the above registered number/Email address.

I/We hereby give my/our explicit consent to download records from CKYCR.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

Date : / /

Place :

4  Signature / Thumb Impression of Applicant

6. ATTESTATION / FOR OFFICE USE ONLY

Documents Received :

Self Certified Copies Video Based KYC

E-KYC data recived from UIDAI Data received from Offline Verification

Digital KYC Process Equivalent e-document

True Copies (attested)

Institution Details

Name	J V Equities Pvt. Ltd					
Code	I	N	2	0	4	7

KYC VERIFICATION CARRIED OUT BY/IPV DONE BY

Date / /

Emp. Name						
Emp. Code						
Emp. Designation						
Emp. Branch						
Signature						





J V EQUITIES PVT. LTD.

201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow, Ramkrishnanagar Main Road, Rajkot - 360002

KNOW YOUR CLIENT (KYC) APPLICATION FORM - NON-INDIVIDUALS

Application Type* New Update
 KYC Number : (Mandatory for KYC update request)

Please fill this form in English and in block letters Fields marked * are mandatory Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also

1. IDENTITY/ENTITY DETAILS (please refer guidelines)

Name of the Applicant															
Date of Incorporation/Formation*		d	d	/	m	m	/	y	y	y	y	Place of Incorporation/Formation			
Date of commencement of business:		d	d	/	m	m	/	y	y	y	y	Entity Constitution Type		others(specify)	
Country of Incorporation/Formation*				TIN or Equivalent Issuing Country											
PAN				TIN/GST Registration Number											
Regn. No. (e.g. CIN)															

Entity Type Please Tick (✓)

- | | | | | | |
|--|--|--|--------------------------------------|--|-------------------------------|
| <input type="checkbox"/> Private Limited Co. | <input type="checkbox"/> Public Ltd. Co. | <input type="checkbox"/> Body Corporate | <input type="checkbox"/> Partnership | <input type="checkbox"/> Trust/Charities/NGO's | <input type="checkbox"/> Bank |
| <input type="checkbox"/> Government Body | <input type="checkbox"/> FPI Category I | <input type="checkbox"/> FPI Category II | <input type="checkbox"/> HUF | <input type="checkbox"/> AOP | <input type="checkbox"/> BOI |
| <input type="checkbox"/> Society | <input type="checkbox"/> Non-Government Organization | <input type="checkbox"/> Defense Establishment | <input type="checkbox"/> LLP | <input type="checkbox"/> Others (please specify) | |

PROOF OF IDENTITY (please refer guidelines)

- | | |
|---|--|
| <input type="checkbox"/> Officially Valid Document(s) in respect of person authorized to transact | <input type="checkbox"/> Registration Certificate |
| <input type="checkbox"/> Certificate of Incorporation/Formation | <input type="checkbox"/> Partnership Deed |
| <input type="checkbox"/> Memorandum of Articles and Association | <input type="checkbox"/> Trust Deed |
| <input type="checkbox"/> Board Resolution | <input type="checkbox"/> Power of attorney granted to its manager, office, employees to transact on its behalf |
| <input type="checkbox"/> Activity Proof -1+ (For Sole Proprietorship Only) | <input type="checkbox"/> Activity Proof -2+ (For Sole Proprietorship Only) |

ADDRESS DETAILS

Registered Office Address/Place of Business											
Line 1											
Line 2											
Line 3											
City/Town/Village*				District				PIN			
State				Country*							

Correspondence/Local Address in India (if different from above)*

Line 1											
Line 2											
Line 3											
City/Town/Village*				District				PIN			
State				Country*							

PROOF OF ADDRESS (attested copy of any one POA to be submitted-*Not more than 3 months old)

- | | | |
|---|---|--|
| <input type="checkbox"/> Certificate of Incorporation/Formation | <input type="checkbox"/> Registration Certificate | <input type="checkbox"/> Registration Certificate |
| <input type="checkbox"/> Latest Telephone Bill*(Landline only) | <input type="checkbox"/> Latest Electricity Bill* | <input type="checkbox"/> Latest Bank Account Statement |
| <input type="checkbox"/> Registered Lease/ Sale Agreement of Office Premises | Validity/Expiry Date of POA(Expiry Date) | |
| <input type="checkbox"/> Any other proof of address document (as listed overleaf) | d d / m m / y y y y | |

CONTACT DETAILS(All communication will be sent to mobile number/E-mail ID provided may be used)(Please Refer Guidelines)

Tel. (Office)	<input type="text"/>	Tel. (Office)	<input type="text"/>
Fax	<input type="text"/>	Mobile	<input type="text"/>
E-mail ID	<input type="text"/>		

Wish to receive electronic Contact Note, Statement, Ledger, Communication and other information through SMS/Email on the above contact details Yes No

Contact Details Belongs to Self Spouse Dependent Children Dependent parents Authorised Person

NUMBER OF RELATED PERSON

REMARKS / ADDITIONAL INFORMATION

APPLICANT DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA/ Central KYC Registry through SMS/Email on the above registered number/Email address.

I/We hereby give my/our explicit consent to download records from CKYCR

Date : / /

Place :

5
 Signature / Thumb Impression of Applicant

Name and Signature of the authorised Signatory(ies)

ATTESTATION / FOR OFFICE USE ONLY

Documents Received :

- Self Certified Copies(Original Verified) Equivalent e-document
 True Copies(attested)

KYC VERIFICATION CARRIED OUT BY/IPV DONE BY

Date / /

Emp. Name	<input type="text"/>				
Emp. Code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Emp. Designation	<input type="text"/>				
Emp. Branch	<input type="text"/>				
Signature	<input type="text"/>				

Institution Details

Name	J V Equities Pvt. Ltd					
Code	I	N	2	0	4	7





J V EQUITIES PVT. LTD.

201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow, Ramkrishnanagar Main Road, Rajkot - 360002

TRADING / DEMAT ACCOUNT RELATED DETAILS

FUNDS PAYOUT THROUGH NATIONAL ELECTRONIC FUND TRANSFER (NEFT)

I/We _____ wish to receive funds payout through NEFT. My bank details are as given below for your records. Request you to release my / our funds payout

Bank Name																										
Bank Address																										
Account Number																										
Account Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others (please specify)																									
IFSC Code																MICR Code										

I/We hereby declare that the above mentioned details are correct & J V Equities Pvt. Ltd. or any of the group companies shall not be held responsible for any kind of wrong transfer due to incorrect information given by me / us.

- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
 - (ii) Photocopy of the Bank Statement having name and address of the BO
 - (iii) Photocopy of the Passbook having name and address of the BO, (or)
 - (iv) Letter from the Bank.
- > In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

DEPOSITORY ACCOUNT(S) DETAILS

DP Name	J V EQUITIES PVT. LTD.
DP ID	12087600
BO Client ID	
Depository	<input type="checkbox"/> CDSL
DP Address	201, Solitaire, Nr. Rajkot Municipal Commissioner Bungalow, Ramkrishnanagar Main Road, Rajkot - 360002

BENEFICIARY NAME (s)

1.	
2.	
3.	

PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:	
--	--

MCX/NCDEX CATEGORIZATION

Category	<input type="checkbox"/> Farmers/FPOs	<input type="checkbox"/> Proprietary Traders	<input type="checkbox"/> Foreign participants	<input type="checkbox"/> Hedgers
	<input type="checkbox"/> Value Chain Participants	<input type="checkbox"/> Domestic financial institutional investors	<input type="checkbox"/> Others	

6
 Signature / Thumb Impression of Applicant

Trading Preferences

Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

Exchanges	NSE & BSE		NSE
All Segments	Cash	F & O	Currency

MCX	NCDEX	NSE
Commodity Derivatives	Commodity Derivatives	Commodity Derivatives

If you do not wish to trade in any of segments / Mutual Fund, please mention here

INTRODUCER DETAILS

Name of the Introducer	
Branch / SB / RM / Employee / AP Code	
Status of the Introducer:	<input type="checkbox"/> Sub-broker <input type="checkbox"/> Remisier <input type="checkbox"/> Authorized Person <input type="checkbox"/> Existing Client <input type="checkbox"/> Employee <input type="checkbox"/> Others, please specify _____
Address and Tel No of the Introducer	
Introducer's Signature	

OTHER DETAILS

IN CASE OF INDIVIDUAL & NON INDIVIDUAL

Gross Annual Income details (please specify): Income Range per annum: (₹ in lacs)			
<input type="checkbox"/> Below ₹ 1 Lac	<input type="checkbox"/> ₹ 1 to ₹ 5 Lac	<input type="checkbox"/> ₹ 5 to ₹ 10 Lac	<input type="checkbox"/> ₹ 10 to ₹ 25 Lac
<input type="checkbox"/> ₹ 25 to ₹ 1 crore	<input type="checkbox"/> Above ₹ 1 crore		
Net-worth as on date	₹ _____ / <input type="text" value="d"/> <input type="text" value="d"/> / <input type="text" value="m"/> <input type="text" value="m"/> / <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> <input type="text" value="y"/> (Net worth should not be older than 1 year)		
Occupation :	<input type="checkbox"/> Private Sector <input type="checkbox"/> Professional <input type="checkbox"/> Public Sector <input type="checkbox"/> Agriculturist	<input type="checkbox"/> Government Service <input type="checkbox"/> Retired	<input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Other
please tick, if applicable:	<input type="checkbox"/> Politically Exposed Person (PEP)		<input type="checkbox"/> Related to a Politically Exposed Person (PEP)
Risk Categorization :	<input type="checkbox"/> High <input type="checkbox"/> Medium <input type="checkbox"/> Low		
Any other information :			

 **7** Signature / Thumb Impression of Applicant

DEALINGS THROUGH AUTHORISED PERSON / OTHER STOCK BROKERS

If client is dealing through the AP, provide the following details:

AP Name:		AP Registration No.	
Registered office address:		Fax:	
Phone No.		Website :	

whether dealing with any other stock broker/AP (in case dealing with multiple stock brokers/sub-brokers/AP, provide details of all)

Name of stock broker:		Name of AP, if any:	
Client Code:		Exchange:	

details of disputes/dues pending from/to such stock broker/AP:

ADDITIONAL DETAILS

<ul style="list-style-type: none"> Whether you wish to receive physical contract note or Electronic Contract Note(ECN)(please specify)/Statement: 	<input type="checkbox"/> Electronic <input type="checkbox"/> Physical
<ul style="list-style-type: none"> Specify your Email id, if applicable: The E-mail Id belongs to : If Family Member, then Relation 	<input type="checkbox"/> Own <input type="checkbox"/> Family Members
<ul style="list-style-type: none"> Whether you wish to receive SMS alert from Stock Exchanges / Stock Broker 	<input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> Detail of Owner of Mobile Number Registered _____ The Mobile Number belongs to : If Family Members, then Relation _____ 	<input type="checkbox"/> Own <input type="checkbox"/> Family Members
<ul style="list-style-type: none"> Family Account Adjustment 	<input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> Pledge Authorization Against Margin Requirement / Outstanding Debit with Clearing Corporation 	<input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> Inter Segment Adjustment 	<input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> Whether you wish to avail of the facility of internet trading/ wireless technology (please specify): 	<input type="checkbox"/> Yes <input type="checkbox"/> No
<ul style="list-style-type: none"> Any other information: 	
<ul style="list-style-type: none"> Number of years of Investment/Trading Experience: 	

Declaration Acceptance for Trading Account

- I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
- I confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
- I further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Guidance Note' with 'Policy and Procedures'. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, www.jvgroups.com


 Signature / Thumb Impression of Applicant

BROKERAGE TARIFF DETAILS

Segment		Square / Intra day						Delivery/C/F-FO	
		Side - A - (Buy)			Side - A - (Sell)				
		Per(%)	Min(%)	Rs./Lot	Per(%)	Min(%)	Rs./Lot	Per(%)	Rs./Lot
Equity Derivative	NSE/BSE F & O								
Currency Derivative	NSE Currency								

Segment MCX/NCDEX/NSE		Square / Intra day						Delivery/C/F-FO	
		Side - A - (Buy)			Side - A - (Sell)				
		Per(%)	Min(%)	Rs./Lot	Per(%)	Min(%)	Rs./Lot	Per(%)	Rs./Lot
Commodity Derivative	Future								
	Options								

Segment		Square / Intra day				Delivery	
		Side - A - (Buy)		Side - A - (Sell)			
		Per(%)	Min(%)	Per(%)	Min(%)	Percentage	Minimum Percentage
BSE/NSE Cash							

Segment	NSE-BSE CASH	NSE-BSE F & O	NSE-Currency	MCX/NCDEX/NSE-Commodity
Brokerage Code				

Note :

- The above rates are exclusive of Stamp Duty, Exchange Transaction charges, Securities Transaction Tax, SEBI Turnover Charges and GST which will be charged extra at the rate prevailing from time to time. Further it is exclusive of any other charges /levies that may be imposed from time to time.
- The General Rates as mentioned here shall be applied unless the Special Rates as may be agreed by the Client and the same are mentioned above.
- For Internet Based Trading (IBT) clients, additional charges with regard to software access etc. will be applicable as per the terms agreed at relevant point in time.
- In Case Electronic Contract note mailed is Bounced physical Contract not has to be dispatched an applicable cost to be recovered from Client


9
 Signature / Thumb Impression of Applicant

Standing Instructions		Automatic Credit	
I/We instruct the DP to receive each and every credit in my/ our account (If not marked, the default option would be 'Yes')		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Account to be operated through Demat Debit And Pledge Instruction (DDPI)		<input type="checkbox"/> Yes	<input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')		<input type="checkbox"/> Yes	<input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly
	<input type="checkbox"/> Fortnightly	<input type="checkbox"/> Monthly	
I / We request you to send Electronic Transaction-cum-Holding Statement at the email ID		<input type="checkbox"/> Yes	<input type="checkbox"/> No
/ We would like to share the email ID with RTA		<input type="checkbox"/> Yes	<input type="checkbox"/> No
I / We would like to receive the Annual Report <input type="checkbox"/> Physical / <input type="checkbox"/> Electronic (Tick the applicable box. If not marked the default option would be in Physical)			
I / We wish to receive dividend / interest directly in to my bank account as given below through ECS (If not marked, the default option would be 'Yes')[ECS is mandatory for locations notified by SEBI from time to time]		<input type="checkbox"/> Yes	<input type="checkbox"/> No
SMS Alert Facility	First Holder <input type="checkbox"/> Yes <input type="checkbox"/> No	Second Holder <input type="checkbox"/> Yes <input type="checkbox"/> No	Third Holder <input type="checkbox"/> Yes <input type="checkbox"/> No
Easi	To register for easi, please visit our website www.cdslindia.com . Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.		

Clearing Member Details (to Be Filled Up By Clearing Members Only)		
1	Name of Stock Exchange	National Stock Exchange of India Ltd.
2	Name of Clearing Corporation/Clearing House	NSE Clearing Ltd. (NCL)
3	Clearing Member ID	M52075
4	SEBI Registration Number	INZ000100131
5	Trade Name	J V Equities Pvt. Ltd.

Mode Of Operations For Sole/First Holder (in Case Of Joint Holdings, All The Holders Must Sign)		
<input type="checkbox"/> Any one singly		<input type="checkbox"/> Jointly by
<input type="checkbox"/> As per resolution		<input type="checkbox"/> Others (please specify)

MODE OF OPERATION FOR EXECUTION OF TRANSACTIONS (Transfer, Pledge & Freeze)	
<input type="checkbox"/> Jointly	<input type="checkbox"/> Anyone of the Holder

Consent for Communication to be received by first account holder/ all Account holder : (Tick the applicable box. If not marked the default option would be first holder.)		
<input type="checkbox"/> first Holder	<input type="checkbox"/> All Holder	<input type="checkbox"/> Email id
	<input type="checkbox"/> Second Holder	
	<input type="checkbox"/> Third Holder	

DECLARATION ACCEPTANCE FOR DEMAT ACCOUNT

I/We have received and read the document of 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

Sole/First Holder	Name (s) of Holder(s)	Signature(s) of Holder
Sole/First Holder/ Guardian/ Authorised Signatory (in case sole holder is minor) (Mr./Mrs.)		10
Second Holder/ Authorised Signatory (Mr./Mrs.)		
Third Holder/ Authorised Signatory (Mr./Mrs.)		

INTIMATION BY THE MEMBER FOR PROPRIETARY TRADING (FOR INFORMATION TO CLIENT)

Under instruction of SEBI, National Stock Exchange of India Ltd. (NSE), BSE Ltd (BSE) Multi Commodity Exchange of India Limited (MCX) and National Commodity & Derivatives Exchange Limited (NCDEX) has directed all its members to inform their clients whether they engage in proprietary trading in this regard we wish to inform you that we do engage in proprietary trading in the all Segment of NSE, BSE, MCX and NCDEX.

DECLARATION FORM FOR E-MAIL ID AND MOBILE NUMBER

Dear Sir/Mam,

I/We request you to update the given mobile number and Email id for Account opening form submitted and hereby declare that

- 1) Mobile Number _____ belongs to Self Spouse Dependent Parents
 Dependent Child Authorised Signatory (non-individual category)
- 2) Email id _____ belongs to Self Spouse Dependent Parents
 Dependent Child Authorised Signatory (non-individual category)

Sr. No.	Counter Client Name and Code	Relationship with the Counter Client
1.		
2.		
3.		
4.		
5.		


Note:

- As per the regulatory guidelines, use of common email id and mobile number is permissible for Family members which includes Self, Spouse, Dependent Parents and Dependent Children. For Non- individual client category the permissible relationship can be of Authorised signatory. Family Flag is required to be updated as 'Y' in all such Demat Accounts.
- Client may please note that Mobile No. and/or email ID of Trading Member (TM) / Employees of TM/Authorised Person are not permitted to receive the SMS / Email Communication from Exchanges on behalf of client.
- As per SEBI Circular No. CIR/MIRSD/15/2011 dated August 02, 2011 investor will receive SMS & Email alerts on the given Mobile No. & Email Id.
- Valid Email Id & Mobile Number is mandatory for all New as well as Existing Clients. In case of repetitive bounce observation, member reserves right to suspend the account for want of valid KYC details.

Reference: NSE Circular No: NSE/ISC/48165 dated May 03, 2021 BSE Circular No: 20210503-41 dated May 03, 2021 CDSL Circular No: CDSL/OPS/DP/POLICY/2021/152 dated April 05, 2021.



Sole/First Holder



Second Holder



Third Holder

Schedule Of Charge Structure for DEMAT Services individual and Corporate Investor

PARTICULAR CHARGES	<input type="checkbox"/> YEARLY	<input type="checkbox"/> Lifetime/ Regular	<input type="checkbox"/> Corporate Annually	BSDA Annual AMC Holding Valuation		<input type="checkbox"/> Refundable
				<input type="checkbox"/> Up to 4 lakhs	<input type="checkbox"/> More than 4 lakhs but up to 10 lakhs	
SCHEME	400	1000	1200	0(NIL)	100	2500/2000*
TRADE CHARGES-ON MARKET	20	20	25	20	20	20
TRADE CHARGES-OFF/INTERDP	25	25	25	25	25	25
Auto Pay In/Early Pay In	20	20	25	20	20	20
DEMAT - Per Certificate	200	200	200	200	200	200
REMAT - Per Certificate	30	30	30	30	30	30
Postage Per Demat/Remat	100	100	100	100	100	100
Margin Pledge/Unpledge	50	50	50	50	50	50
PLEDGE/UNPLEDGE	50	50	50	50	50	50
TRADE FAIL	10	10	25	10	10	10
DRF REJECTION	50	50	50	50	50	50
ADDITIONAL STATEMENT (PER PAGE)	15	15	15	15	15	15
ANY KIND OF MODIFICATION	50	50	50	50	50	50
CVL/KRA	50	50	50	50	50	50
CHEQUE RETURN (Per Instance)	250	250	250	250	250	250
TRADE BOOKS (5 LEAVES) 1ST FREE	25	25	25	25	25	25
PLEDGE INVOCATION	100	100	100	100	100	100
ONLINE TRADE CHARGES	100	100	100	100	100	100
DDPI/POA E-stamping Charges	300	0(NIL)	0(NIL)	300	300	0(NIL)

Notes:

1. GST at actual wherever applicable
2. We reserve the rights to change/add charges with 30 days prior notice.
3. Charges/Tariffs shall be revised / changed at JVEPL's discretion, intimation of which shall be done by E-mail/Post
4. For account opening charges/annual maintenance, cheques required Payable at Par/DD.
5. All payments should be in favor of "J V Equities Pvt. Ltd."

BSDA:**


1. Scheme Applicable only to an Individual Account Holder.
2. I/We have read and understood the Securities and Exchange Board of India's guidelines for facility for a BSDA
3. First/Sole holder does not have / propose to have any other Demat Account across Depositories.
4. In case of BSDA, if the value of holdings exceeds more than Rs. 10,00,000/- any date then charges will be levied as applicable to Regular Scheme (non-BSDA) from that date onwards.


* Rs. 2,000 refundable at the time of Closing, Minimum Period 2 years from the date of Account Open

DP ID	1	2	0	8	7	6	0	0
-------	---	---	---	---	---	---	---	---

Client ID								
-----------	--	--	--	--	--	--	--	--

 Sole/First Holder
--

 Second Holder
--

 Third Holder



J V EQUITIES PVT. LTD.

201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow, Ramkrishnanagar Main Road, Rajkot - 360002

BO NOMINATION FORM (DEMAT AND TRADING)

Date DPID Client ID

Nominee Registration No : UCC :

I/We wish to make a nomination. [As per details given below]

NOMINATION DETAILS

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) *

	MANDATORY DETAILS						ADDITIONAL DETAILS ****	
	Name of the nominee	Share of each Nominee (%)**	Relationship	Postal Address	Mobile Number & E-mail	Identity Number ***	D.O.B. of Nominee	Guardian
Nominee 1								
Nominee 2								
Nominee 3								
Nominee 4								
Nominee 5								
Nominee 6								
Nominee 7								
Nominee 8								
Nominee 9								
Nominee 10								

***JOINT ACCOUNTS:**

Event	Transmission of Account / Folio to
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

** If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form

*** Provide only number: PAN or Driving License or Aadhaar (last 4 digits). Copy of the document is not required.

However, in case of NRI / OCI / PIO, Passport number is acceptable.

****to be furnished only in following conditions / circumstances:

- Date of Birth (D. o. B): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the DP as follows; (please tick, as appropriate)


Name of nominee(s):

Nomination: Yes / No

2) I hereby authorize _____ (nominee number ___) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to ___% of assets in the account or Rs. _____. (Optional) (Strike off portions that are not relevant)

This nomination shall supersede any prior nomination made by me / us, if any.

3) Signature(s) – As per the mode of holding in demat account(s).

Sole/First Holder	Name (s) of Holder(s)	Signature(s) of Holder
Sole/First Holder (Mr./Mrs.)		
Second Holder (Mr./Mrs.)		
Third Holder (Mr./Mrs.)		

* Note: Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

(Stamped or e-stamped appropriately)

DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)

TO ALL TO WHOM THESE PRESENTS SHALL COME TO ALL TO WHOM THESE PRESENTS SHALL COME I/We (an individual/sole proprietor/a partnership Firm/HUF/a Company/a LLP/a trust/a society/an Association), _____ residing / having office /registered office at

_____ hold a Beneficiary account no. 12087600 _____, with Central Depository Services (India) Limited, with J V EQUITIES PRIVATE LIMITED (JVEPL), a Depository Participant with CDSL bearing DP-ID: 12087600(POA MASTER ID: 220876000000131) and I/We am/are an investor(s) engaged in buying and selling of securities/commodities vide client code/trading code no. _____ through J V EQUITIES PRIVATE LIMITED, a member of National Stock Exchange of India Limited (NSE CM ID: M52075), BSE Ltd (BSE), Multi commodity Exchange Of India Limited (MCX) and National Commodity & Derivatives Exchange Limited (NCDEX), SEBI registered stock broker having SEBI registration No.: INZ000100131, here by authorize J V EQUITIES PRIVATE LIMITED (JVEPL), having its registered office and Corporate address at 201, Solitaire Building, Near Municipal Commissioner Bungalow, Ramkrishna Nagar Main Road Rajkot 360002 or any of its officers of employees or any sub agents as may be delegated by said DP to carry out following exercises for me/us and on my/our behalf and in my/our name from time to time until revoked by me/us in writing given to JVEPL and such revocation shall not affect the validity of any acts, deeds or things done or action taken by JVEPL for discharging any of my/our settlement/margin obligations in respect of any transactions which is pending on the date of receipt of the notice of revocation by me/us.

Sr. No.	Purpose	Signature/E-sign of First/Sole Holder	Signature/E-sign of Second Holder	Signature/E-sign of Third Holder
1	Transfer of securities held in the beneficial owner accounts of me/us towards Stock Exchange related deliveries /settlement obligations arising out of trades executed by me/us on the Stock Exchange in any segments to the respective pool accounts of JVEPL as mentioned in Annexure-1 of this instrument.			
2	Pledging/re- pledging of securities in favor of JVEPL to the respective Margin Pledge account of JVEPL as mentioned in Annexure-1 of this instrument & re-pledging of securities by JVEPL to clearing members (CM) / Clearing corporation for the purpose of meeting margin requirements of me/us in connection with the trades executed by me/us on the Stock Exchanges in any segments.			

3	Mutual Fund transactions being executed on Stock Exchange order entry platforms			
4	Tendering shares in open offers through Stock Exchange platforms.			

For Market Pay-in			
SR. NO.	Name of the Account holder	DP ID	CLIENT ID
1	J V EQUITIES PRIVATE LIMITED – (CDSL Pool A/c)	12087600	00000048
2	J V EQUITIES PRIVATE LIMITED – (NSDL Pool A/c)	IN300974	12654784
3	J V EQUITIES PRIVATE LIMITED – (NSE Early Pay In A/c)	11000011	00020658
4	J V EQUITIES PRIVATE LIMITED – (Client Securities Margin Pledge A/c)	12087600	00005844
5	J V EQUITIES PRIVATE LIMITED – (Client Unpaid Securities Pledgee Account (CUSPA))	12087600	00015791
6	J V EQUITIES PRIVATE LIMITED – (CDSL TM Pool A/c)	12087600	00015656
7	J V EQUITIES PRIVATE LIMITED - (NSDL TM Pool A/c)	IN300974	13735154

The above mentioned list of Demat Accounts may be changed by JVEPL from time to time with intimation to the clients in writing/through the website/via e-mail.

I/We accept (For J V EQUITIES PRIVATE LIMITED)	
Date	
Place	
	J V EQUITIES PVT. LTD. DIRECTOR (Authorized Signatories)/E-sign

DECLARATTION BY HUF (Applicable only for HUF Accounts)

Member/coparceners list & their consent for DEMAT DEBIT AND PLEDGE INSTRUCTION.

Name	Date of Birth	Relation	Signature



J V EQUITIES PVT. LTD.

201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow, Ramkrishnanagar Main Road, Rajkot - 360002

FATCA/CRS Declaration Form – (Individual)

PAN Trading Code

Name

Place of Birth

Country of Birth

Nationality

Occupational Detail Business Private Sector Professional Government Service Public Sector Agriculturist Housewife Student Retired Forex Dealer Others Pl. Specify

Politically Exposed Person (PEP) Related to Politically Exposed Person (RPEP)

Are you a tax resident of any country other than India Yes No

If yes please indicates the all countries in which you are resident for tax purpose and the associated Tax ID number below.

Sr. No.	Country*	Tax Identification Number*	Identification Type(TIN or other, please specify)
1.			
2.			
3.			
4.			
5.			

DECLARATION

I hereby confirm that the information provided above is true, accurate and complete.

Subject to applicable laws I hereby consent for J V Equities Pvt. Ltd. (JVEPL) or any of its affiliates (including branches) (collectively J V Equities Pvt. Ltd. (JVEPL) to share my information with domestic or overseas regulators or tax authorities where necessary to establish my tax liability in my jurisdiction.

Where required by the domestic or overseas regulators or tax authorities, I consent and agree that J V Equities Pvt. Ltd. (JVEPL) may withhold from my account(s) such amounts as may be required according to applicable laws, regulations and directives. I undertake to notify J V Equities Pvt. Ltd. (JVEPL) within 30 calendar Days if there is any change in information which I have provided to JVEPL.

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 Signature / Thumb Impression of Applicant

Date : / /

Place :

UBO Declaration

Category (Please tick applicable category): Private Company Public Company Listed Company Partnership Firm
 Limited Liability Partnership (LLP) Unincorporated association / body of individuals Public Charitable Trust Religious Trust Private Trust
 Others (Please Specify _____)

Please list below the details of controlling person(s), confirming ALL countries of tax residency / permanent residency / citizenship and ALL Tax Identification Numbers for EACH controlling person(s). (Please attach additional sheets if necessary)

Owner-documented FFI's should provide FFI Owner Reporting Statement and Auditor's Letter with required details as mentioned in Form W8 BEN E (Refer 3(vi) of Part C)

Details	UBO1	UBO2	UBO3
Name of UBO			
UBO Code (Refer 3(iv) (A) of Part C)			
Country of Tax residency*			
PAN*			
Address	Zip <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> State: _____ Country: _____	Zip <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> State: _____ Country: _____
Address Type	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office	<input type="checkbox"/> Residence <input type="checkbox"/> Business <input type="checkbox"/> Registered office
Tax ID*			
Tax ID Type			
City of Birth			
Country of birth			
Occupation Type	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____	<input type="checkbox"/> Service <input type="checkbox"/> Business <input type="checkbox"/> Others _____
Nationality			
Father's Name			
Gender	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others	<input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Others
Date of Birth	DD/MM/YYYY	DD/MM/YYYY	DD/MM/YYYY
Percentage of Holding (%)			

* To include US, where controlling person is a US citizen or green card holder

* If UBO is KYC compliant, KYC proof to be enclosed. Else PAN or any other valid identity proof must be attached. Position / Designation like Director / Settlor of Trust / Protector of Trust to be specified wherever applicable.

* In case Tax Identification Number is not available, kindly provide functional equivalent

* Attach valid documentary proof like Shareholding pattern duly self attested by Authorized Signatory / Company Secretary

DECLARATION

I have read and understood the information requirements and the Terms & Conditions mentioned in this Form (read along with FATCA & CRS instructions) and hereby confirm that the information provided by me on this Form is true, correct and complete. I hereby agree and confirm to inform J V Equities Pvt Ltd for any modification to this information promptly.

I further agree to abide by the provisions of the scheme related documents inter alia provisions of FATCA & CRS on Automatic Exchange of Information (AEOI).

Name	
Designation	

15 Signature / Thumb Impression of Applicant

Date : / /

Place :

DECLARATION BY HUF

Name : _____

Address : _____

Date : _____

J V EQUITIES PVT. LTD.

201, Solitaire, Nr. Rajkot Municipal Commissioner Bungalow,
Ramkrishnanagar Main Road, Rajkot - 360002

Dear Sir,

We, the undersigned members of _____

(HUF) are desirous of opening a Trading / Demat Account in the above-mentioned name with you. The said account will be operated _____ **(Karta)**

on behalf of _____ **(HUF)**.

We hereby undertake that all the transactions undertaken by the said HUF shall be binding not only on the present members of the said joint family (both adults and minors) but also on all future members thereof (both adults and minors) and all persons entitled to a share therein and ourselves personally. Also that whenever any change occurs in the management or in the constitution of the said joint family of whatsoever nature, we undertake to give notice thereof to you.

Sr. No.	Name Of Member	Designation	Relationship	Date Of Birth	Signature
1		Karta			
2		Co-Parcener			
3		Co-Parcener			
4		Co-Parcener			
5		Co-Parcener			
6		Co-Parcener			
7		Co-Parcener			

Thanking you,
Yours faithfully,

(HUF Stamp & Sign)

Voluntary Authorisation Letter

From : _____

Client Code : _____

DP Client Code: _____

Date : _____

J V EQUITIES PVT. LTD.

201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow,
Ramkrishnanagar Main Road, Rajkot - 360002

By ticking on YES you authorize JVEPL to maintain the Trading Account as Running Account thereby adjusting any obligations and receivables.

Subject : Voluntary Authorisation letter

1. Authorization relating to retain/adjust the shares/securities/ funds and Running Account Maintenance :-

Yes No

- a. You can maintain my/our account on a running account basis any amounts receivable from me/us against any credits standing into my/our account or from my/our forthcoming pay outs payable by me to J V Equities Pvt. Ltd., where I/We am/are registered with you as a Client.
- b. I/We request you to keep my/our securities, margins and/or funds with you to meet my/our pay in obligations in the succeeding settlements in the same segment as well as other segments of NSE / BSE / MCX / NCDEX where I/We am/are registered with you as a Client.
- c. Further it is observed that many times the date on which payment is due to me/us from you and the date which I/We am/are required to make payment to you are very close and therefore exchange of cheques become unnecessary paper work. Hence, I/We request you to maintain the running account facility with you. I/We agree that you shall not be required to pay any interest/ charges/ cost in respect of funds or securities so held by you on a running account basis.
- d. Further, I/We hereby give my/our consent to you to maintain my/our account on a RUNNING ACCOUNT basis instead of on a daily settlement-to-settlement basis.
- e. However my/our preference for compulsory periodic settlement of funds as follows: Monthly Quarterly
- f. I/We declare that this authorization is revocable by me/us at any time without giving any prior notice of such revocation to **J V EQUITIES PVT. LTD**
- g. I/We agree that in case, I/We desire to take any pay outs from my/our trading account, I/We specifically shall intimate about the same and **J V EQUITIES PVT. LTD** shall upon due scrutiny of my/our account and upon adherence to its policy/procedure, shall release eligible amount to me/us.
- h. I/We further agree that this authorization shall have an equal binding effect to the successors, executors and assigns of **J V EQUITIES PVT. LTD.**
- i. I/We hereby authorize you to transfer/adjust all the additional funds/securities lying in my/our ledger account after meeting my/our obligations/dues, to the collateral account to avail exposure or keep all funds/securities in your margin account with you to meet my/our margin obligations or keep the same with any exchange and/or with clearing member in the form of fixed deposit or any other form to avail exposure/meet margin requirements. I/We understand that there would not be any interest/commission payable to me in the event of above arrangement. This arrangement would be without any consideration or the fund/securities so moved will not bear any interest/commission payable to me/us in the event of above arrangement..

2. Authorization for receiving ECN's and any documents/communications in electronic form by E-mail from Stock Broker and Depository Participant display on Website:- Yes No

I/We am registered as a Client and having the captioned trading account with JVEPL & Depository account with JVEPL and hereby authorize JVEPL for the following:

E-mail ID: _____

By ticking on YES you authorize JVEPL to send all important

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Signature / Thumb Impression of Applicant

To be continue on next page

To be continued Previous page

- a) I/we authorize JVEPL to issue me/us electronic contract notes (ECN's), bills, trade confirmations, ledgers, daily margin statements, statement of accounts for periodical settlement of funds and securities, any notices, circulars, amendments and such other correspondence or communication related to my/our trading account (hereinafter referred to as "Documents") and wherever required duly authenticated by means of a digital signature as specified in the information technology Act, 2000 and the rules made there under to the E-mail ID as mentioned hereunder:
- b) I/We understand that the documents received on e-mail/displayed on website are for my/our convenience. I/we will take all the necessary steps to ensure confidentiality and secrecy of the login name & password of the internet/email account.
- c) I/We is/are aware that the documents as may be accessed by other entities in case the confidentiality/ secrecy of the login name and password is compromised.
- d) I/We shall verify the authenticity of the e-mails which I/we shall receive. JVEPL shall not be responsible, if I/we do not receive the documents due to incorrect email ID and/or technical reasons.
- e) I authorize JVEPL to issue me bills, ledgers, monthly/quarterly/yearly demat transaction cum holding statements, any notices, circulars, amendments and such other correspondence or communication related to my demat account (hereinafter referred to as "Documents") and wherever required duly authenticated by means of a digital signature as specified in the information technology Act, 2000 .
- f) I understand that wherever the e-mails have not been delivered to me or has been rejected (bouncing of mails) from the e-mail ID of mine, JVEPL would send physical document to me. I further hereby agree that JVEPL have fulfilled the legal obligation, if the above documents are sent electronically to the above-mentioned e-mail ID. I agree that JVEPL will not be responsible for non receipt of documents sent via electronic delivery due to change in email address or for any other reason which inter alia include my email/inbox running out of capacity, malfunction of my computer system/server/internet connection, mails received by frauds/imposters etc. I also agree that JVEPL shall not take cognizance of out-of office/ out-of station auto replies and I shall be deemed to have received such electronic mails.
- g) I shall inform JVEPL in writing if there is any change in my registered e-mail ID. _____

3) Authorization for debiting various depository charges:- **Yes** **No**

By ticking on YES you authorize JVEPL to deduct all DP charges from your account.

- a) I hereby give my consent/authority to debit/recover all types of depository charges viz annual maintenance charges, inter settlement charges, any type of transaction charges as is levied on me for the transactions carried out in my demat account including any statutory levies, services tax or any other tax / charges / fees in / from my trading ledger having the captioned client code as maintained with JVEPL. I understand and agree that such depository charges will be debited in my trading ledger maintained with JVEPL irrespective of the ledger balance on periodically and/or as per the details provided by JVEPL.
- b) I instruct JVEPL to provide the requisite information periodically and/or on occasion basis of such charges levied on me to JVEPL with whom I have opened the trading account
- c) I understand and consent that JVEPL shall have the right to recover the depository charges like any other trade dues payable by me from my trading ledger. I hereby further authorize JVEPL to set off a part or whole of the collateral/ledger balances/securities in my demat account i.e. by way of appropriation of the relevant amount of cash or by way of sell or transfer or liquidation/close out positions of all or some of the securities placed as collateral or lying in my demat account as stated above for the purpose of clearing any outstanding amount related to the aforesaid demat account maintained with JVEPL. Any and all losses and financial charges on account of such liquidation/close-out shall be borne by me.

E-mail ID: _____

By ticking on YES you express your approval and interest in receiving information on various other financial products/services that JVEPL has to offer. You also allow JVEPL to share your preferences with other allied businesses.

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 Signature / Thumb Impression of Applicant

Electronic Contract Note (ECN) - DECLARATION


To,
J V Equities Pvt. Ltd.
201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow,
Ramkrishnanagar Main Road, Rajkot - 360002

Dear Sir,

I/We, _____ a client with Member M/s. J V Equities

Pvt. Ltd. of MCX & NCDEX Exchange undertake as follows :

- I/We am/are aware that the Member has to provide physical contract note in respect of all the trades placed by me/us unless I/We myself/ourselves want the same in the electronic form.
- I/We am/are aware that the Member has to provide electronic contract note for my convenience on my request only.
- Though the Member is required to deliver physical contract note, I/We find that it is inconvenient for me/us to receive physical contract notes. Therefore, I/We am/are voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out/ ordered by me.
- I/We have access to a computer and am/are a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is _____. This has been created by me/us and not by someone else.
- I/We am/are aware that this declaration form should be in English or in any other Indian language known to me/us.
- I/We am/are non-receipt of bounced mail notification by the member shall amount delivery the contract note at the above e-mail ID.
[The above declaration and the guidelines on ECN given in the Annexure have been read and understood by me/us. I/we am/are aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same].

Client Name	<input type="text"/>																	
Unique Client Code	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	PAN	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	
Address	<input type="text"/>																	
Signature of the Client											Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
											Place	<input type="text"/>						

Verification of the client signature done by,

Name of the designated officer of the Member

Signature of the Member **J V EQUITIES PVT. LTD.** Date

DIRECTOR

DECLARATION FOR NET OPEN POSITION

To,
J V Equities Pvt. Ltd.
201, Solitaire, Nr. Rajkot Municipal Comm. Bungalow,
Ramkrishnanagar Main Road, Rajkot - 360002

Client Name

Client Code

Date

Dear Sir,

Subject : My/Our request for trading in commodity forward contracts/commodity derivatives on one or more Exchanges as your client.

I/We the undersigned have taken cognizance of circulars issued by different commodity exchanges in pursuance of the directions received from SEBI from time to time regarding calculation of Net Open Positions permitted in one or more commodities and I/We hereby undertake to comply with the same.

I/We hereby declare and undertake that I/We will not exceed the positions limits prescribed from time to time by different exchanges or SEBI and such positions limits will be calculated in accordance with the circulars of respective exchanges as modified from time to time.

I/We undertake to inform you and keep you informed if I/ any of Our Partners/Directors/Karta/Trustee or any of the Partnership Firms / Companies / HUFs/Trusts in which I or any of the above such person is a Partners/Directors/Karta/Trustee takes or holds any positions in any commodity Forward Contract/ commodity derivative on different exchange through you or through any other member(s) of different exchanges to enable you to restrict our position limit as prescribed by the above referred circular of different exchange as modified from time to time.

I/We confirm that you have agreed to enter order in commodity forward contracts/commodity derivatives for /us as your clients on different exchanges only on the basis of our above assurances and undertaking.

Yours truly,

For

Signature of the Applicant



*Strike off whichever is not applicable/acceptable to you.

(Sole Proprietor / Partner / Director / Karta / Trustee)

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OPTION FORM FOR ISSUE OF DIS BOOKLET

Date : _____ / _____ / _____

To,
JV Equities Pvt. Ltd.
 201, Solitaire, Nr. Rajkot Municipal Commissioner Bungalow,
 Ramkrishnanagar Main Road, Rajkot - 360002

Dear Sir/Madam,

DP ID	1	2	0	8	7	6	0	0	Client ID	0	0						
Sole/First Holder's Name																	
Second Holder's Name																	
Third Holder's Name																	

I/We hereby state that: (Select one of the option given)

OPTION 1:

I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my/our CDSL account through I/We have issued a Power of Attorney (POA)/executed PMS agreement in favor of / with J V EQUITIES PRIVATE LIMITED for executing delivery instructions for setting stock exchange trades (Settlement related transactions) effected through such Clearing member/by PMS Manager.

Yours Faithfully,

	FIRST / SOLE HOLDER	SECOND HOLDER	THIRD HOLDER
Name			
Signature	Signature / Thumb Impression of Applicant		

OPTION 2:

I/We do not require the Delivery Instruction Slip (DIS) for the time being. Since I/We have issued a Power of Attorney (POA)/executed PMS agreement in favor of / with J V EQUITIES PRIVATE LIMITED for executing delivery instructions for setting stock exchange trades (Settlement related transactions) effected through such Clearing member/by PMS Manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to to me/us immediately on my/our request at any later date.

Yours Faithfully,

	FIRST / SOLE HOLDER	SECOND HOLDER	THIRD HOLDER
Name			
Signature	Signature / Thumb Impression of Applicant		

CLIENT MASTER - FOR USE BY JVEPL

STRICTLY BRANCH REFERENCE

Place : _____ Date : _____

Authorised Person / Business Associate sharing arrangement details (name & code)	
Signature	

BROKERAGE DETAILS - REFER PAGE NO. 13

STRICTLY HO REFERENCE

Initial entries

INWARD PARTICULARS				DOCUMENT VERIFICATION				DATA ENTRY PARTICULARS			
Date	[] []	[] []	[] [] [] []	Date	[] []	[] []	[] [] [] []	Date	[] []	[] []	[] [] [] []
Time	[] []	[] []		Time	[] []	[] []		Time	[] []	[] []	
Sign				Sign				Sign			

ACCOUNT ACTIVATION						BACK OFFICE MASTERS					
Code Allotted (all segments)	[] [] [] []	[] [] [] []	[] [] [] []	Date	[] []	[] []	[] [] [] []	Updated by			
BOID	[] [] [] []	[] [] [] []	[] [] [] []	Sign				Sign			
Date	[] []	[] []	[] [] [] []								

PROCEDURE CHECK LIST

<input type="checkbox"/> Mail sent to Client	<input type="checkbox"/> SMS sent to Client	<input type="checkbox"/> Welcome kit dispatch
<input type="checkbox"/> UCC Exchange	<input type="checkbox"/> NSE Cash	<input type="checkbox"/> BSE Cash
<input type="checkbox"/> NSE FO	<input type="checkbox"/> BSE FO	<input type="checkbox"/> NSE CDS
<input type="checkbox"/> NSE CO	<input type="checkbox"/> MCX	<input type="checkbox"/> NCDEX
<input type="checkbox"/> ODIN Update	<input type="checkbox"/> ACH	<input type="checkbox"/> DIET
<input type="checkbox"/> Mobile	<input type="checkbox"/> Branch/AP	

Intimation from Branch/AP by	Receipt mode
Document received	
Signature of Back-office Incharge	Date
Audited by	Original
Audit date	Rejected pursuant _____ _____ _____

Signature of Back-office Incharge / Compliance Official _____

List of two – digit state / U.T codes as per Indian Motor Vehicle Act, 1988

State / U.T	Code	State / U.T	Code	State / U.T	Code
Andaman & Nicobar	AN	Himachal Pradesh	HP	Pondicherry	PY
Andhra Pradesh	AP	Jammu & Kashmir	JK	Punjab	PB
Arunachal Pradesh	AR	Jharkhand	JH	Rajasthan	RJ
Assam	AS	Karnataka	KA	Sikkim	SK
Bihar	BR	Kerala	KL	Tamil Nadu	TN
Chandigarh	CH	Lakshadweep	LD	Telangana	TS
Chattisgarh	CG	Madhya Pradesh	MP	Tripura	TR
Dadra and Nagar Haveli	DN	Maharashtra	MH	Uttar Pradesh	UP
Daman & Diu	DD	Manipur	MN	Uttarakhand	UA
Delhi	DL	Meghalaya	ML	West Bengal	WB
Goa	GA	Mizoram	MZ	Other	XX
Gujarat	GJ	Nagaland	NL		
Haryana	HR	Orissa	OR		

List of ISO 3166 two- digit Country Code

Country	Country Code	Country	Country Code	Country	Country Code	Country	Country Code
Afghanistan	AF	Dominican Republic	DO	Libya	LY	Saint Pierre and Miquelon	PM
Aland Islands	AX	Ecuador	EC	Liechtenstein	LI	Saint Vincent and the Grenadines	VC
Albania	AL	Egypt	EG	Lithuania	LT	Samoa	WS
Algeria	DZ	El Salvador	SV	Luxembourg	LU	San Marino	SM
American Samoa	AS	Equatorial Guinea	GQ	Macao	MO	Sao Tome and Principe	ST
Andorra	AD	Eritrea	ER	Macedonia, the former Yugoslav Republic of	MK	Saudi Arabia	SA
Angola	AO	Estonia	EE	Madagascar	MG	Senegal	SN
Anguilla	AI	Ethiopia	ET	Malawi	MW	Serbia	RS
Antarctica	AQ	Falkland Islands (Malvinas)	FK	Malaysia	MY	Seychelles	SC
Antigua and Barbuda	AG	Faroe Islands	FO	Maldives	MV	Sierra Leone	SL
Argentina	AR	Fiji	FJ	Mali	ML	Singapore	SG
Armenia	AM	Finland	FI	Malta	MT	Sint Maarten (Dutch part)	SX
Aruba	AW	France	FR	Marshall Islands	MH	Slovakia	SK
Australia	AU	French Guiana	GF	Martinique	MQ	Slovenia	SI
Austria	AT	French Polynesia	PF	Mauritania	MR	Solomon Islands	SB
Azerbaijan	AZ	French Southern Territories	TF	Mauritius	MU	Somalia	SO
Bahamas	BS	Gabon	GA	Mayotte	YT	South Africa	ZA
Bahrain	BH	Gambia	GM	Mexico	MX	South Georgia and the South Sandwich Islands	GS
Bangladesh	BD	Georgia	GE	Micronesia, Federated States of	FM	South Sudan	SS
Barbados	BB	Germany	DE	Moldova, Republic of	MD	Spain	ES
Belarus	BY	Ghana	GH	Monaco	MC	Sri Lanka	LK
Belgium	BE	Gibraltar	GI	Mongolia	MN	Sudan	SD
Belize	BZ	Greece	GR	Montenegro	ME	Suriname	SR
Benin	BJ	Greenland	GL	Montserrat	MS	Svalbard and Jan Mayen	SJ
Bermuda	BM	Grenada	GD	Morocco	MA	Swaziland	SZ
Bhutan	BT	Guadeloupe	GP	Mozambique	MZ	Sweden	SE
Bolivia, Plurinational State of	BO	Guam	GU	Myanmar	MM	Switzerland	CH
Bonaire, Sint Eustatius and Saba	BQ	Guatemala	GT	Namibia	NA	Syrian Arab Republic	SY
Bosnia and Herzegovina	BA	Guernsey	GG	Nauru	NR	Taiwan, Province of China	TW
Botswana	BW	Guinea	GN	Nepal	NP	Tajikistan	TJ
Bouvet Island	BV	Guinea-Bissau	GW	Netherlands	NL	Tanzania, United Republic of	TZ
Brazil	BR	Guyana	GY	New Caledonia	NC	Thailand	TH
British Indian Ocean Territory	IO	Haiti	HT	New Zealand	NZ	Timor-Leste	TL
Brunei Darussalam	BN	Heard Island and McDonald Islands	HM	Nicaragua	NI	Togo	TG
Bulgaria	BG	Holy See (Vatican City State)	VA	Niger	NE	Tokelau	TK
Burkina Faso	BF	Honduras	HN	Nigeria	NG	Tonga	TO
Burundi	BI	Hong Kong	HK	Niue	NU	Trinidad and Tobago	TT
Cabo Verde	CV	Hungary	HU	Norfolk Island	NF	Tunisia	TN
Cambodia	KH	Iceland	IS	Northern Mariana Islands	MP	Turkey	TR
Cameroon	CM	India	IN	Norway	NO	Turkmenistan	TM
Canada	CA	Indonesia	ID	Oman	OM	Turks and Caicos Islands	TC
Cayman Islands	KY	Iran, Islamic Republic of	IR	Pakistan	PK	Tuvalu	TV
Central African Republic	CF	Iraq	IQ	Palau	PW	Uganda	UG
Chad	TD	Ireland	IE	Palestine, State of	PS	Ukraine	UA
Chile	CL	Isle of Man	IM	Panama	PA	United Arab Emirates	AE
China	CN	Israel	IL	Papua New Guinea	PG	United Kingdom	GB
Christmas Island	CX	Italy	IT	Paraguay	PY	United States	US
Cocos (Keeling) Islands	CC	Jamaica	JM	Peru	PE	United States Minor Outlying Islands	UM
Colombia	CO	Japan	JP	Philippines	PH	Uruguay	UY
Comoros	KM	Jersey	JE	Pitcairn	PN	Uzbekistan	UZ
Congo	CG	Jordan	JO	Poland	PL	Vanuatu	VU
Congo, the Democratic Republic of the	CD	Kazakhstan	KZ	Portugal	PT	Venezuela, Bolivarian Republic of	VE
Cook Islands	CK	Kenya	KE	Puerto Rico	PR	Viet Nam	VN
Costa Rica	CR	Kiribati	KI	Qatar	QA	Virgin Islands, British	VG
Cote d'Ivoire !Côte d'Ivoire	CI	Korea, Democratic People's Republic of	KP	Reunion !Réunion	RE	Virgin Islands, U.S.	VI
Croatia	HR	Korea, Republic of	KR	Romania	RO	Wallis and Futuna	WF
Cuba	CU	Kuwait	KW	Russian Federation	RU	Western Sahara EH	
Curacao !Curaçao	CW	Kyrgyzstan	KG	Rwanda	RW	Yemen	YE
Cyprus	CY	Lao People's Democratic Republic	LA	Saint Barthelemy !Saint Barthélemy	BL	Zambia	ZM
Czech Republic	CZ	Latvia	LV	Saint Helena, Ascension and Tristan da Cunha	SH	Zimbabwe	ZW
Denmark	DK	Lebanon	LB	Saint Kitts and Nevis	KN		
Djibouti	DJ	Lesotho	LS	Saint Lucia	LC		
Dominica	DM	Liberia	LR	Saint Martin (French part)	MF		



J V GROUP

Member of NSE - BSE - CDSL - MCX - NCDEX

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